

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

220-009-2

First Inventor

Roger C. Bowser

Title

Lighting Device, Components Therefor,  
and Method of Manufacture

Express Mail Label No.

EL 988282453 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-145022141 U.S. PTO  
10/820163

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 58]  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 11]
5. Oath or Declaration [Total Sheets 1]  
a. ☐ Newly executed (original or copy)  
b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
name in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ Paper  
c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☒ Other: Transmittal Letter

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/576,104Prior application information: Examiner Quarterman, Kevin J.Art Unit: 2879For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number:

33461

PATENT TRADEMARK OFFICE

OR ☐ Correspondence address below

Name

Address

City

Country

Telephone

Zip Code

Fax

Name (Print/Type) Stephen T. SullivanRegistration No. (Attorney/Agent) 32,444

Signature

Date

April 6, 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**Certificate of Express Mailing**

Express Mail Label No. EL 988282453 US

Date of Deposit April 6, 2004

I hereby certify that the attached Utility Patent Application Transmittal is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



# Sullivan Law Group

A Professional Corporation

Patents, Trademarks, Copyrights,  
Related Intellectual Property Law

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April 6, 2004

***Via Express Mail***

MS PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

***Re: Filing of Divisional Patent Application Entitled  
"LIGHTING DEVICE, COMPONENTS THEREFOR, AND  
METHOD OF MANUFACTURE"  
Our Ref. 220-009-2***

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Dear Sir:

We hereby submit the following documents in connection with the filing of this divisional U.S. utility patent application entitled, "LIGHTING DEVICE, COMPONENTS THEREFOR, AND METHOD OF MANUFACTURE," inventors Roger C. Bowser and David P. Wright:

1. Utility Patent Application Transmittal;
2. Specification (57 pages) including claims (10 independent claims and 42 claims total);
3. Abstract of the Disclosure (1 page);
4. Drawings (11 sheets, 45 drawing figures); and
5. Declaration and Power of Attorney.

Please accord this application a serial number and filing date of April 6, 2004.

This application is a divisional application of U.S. application no. 09/576,104, filed on May 22, 2000, which is a divisional application of U.S. application no. 08/863,834, filed on May 27, 1997 (now U.S. Patent No. 6,066,919).

Commissioner for Patents  
April 6, 2004  
Page 2

Please send all correspondence to the following address:

Sullivan Law Group  
1850 North Central Avenue  
Suite 1140  
Phoenix, Arizona 85004-4586

Please contact us if you have any questions or wish to discuss this matter.

Sincerely,



Stephen T. Sullivan  
Reg. No. 32,444

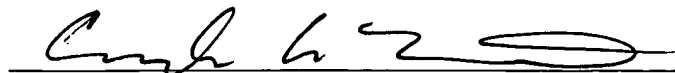
STS/rar  
Enclosures

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I hereby certify that this transmittal letter and listed documents are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.




**DIVISIONAL PATENT APPLICATION**  
**OF**  
**ROGER C. BOWSER AND DAVID P. WRIGHT**  
**FOR**  
**"LIGHTING DEVICE, COMPONENTS THEREFOR, AND**  
**METHOD OF MANUFACTURE"**

**CERTIFICATE OF EXPRESS MAILING**

Express Mail Label No. EL 988282453 US

Date of Deposit: April 6, 2004

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**EXPRESS MAIL CERTIFICATE**

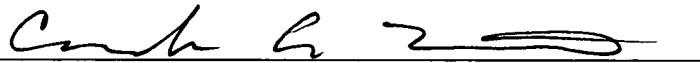
**SPECIFICATION, CLAIMS, AND ABSTRACT (58 PAGES)**

**"LIGHTING DEVICE, COMPONENTS THEREFOR, AND  
METHOD OF MANUFACTURE"**

Express Mail Label No. EL 988282453 US

Date of Deposit: April 6, 2004

I hereby certify that the attached specification, including 42 claims and abstract, totaling 58 pages is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed MS PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
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